

Instructions:

All candidates must complete Boxes A and B. Candidates who receive contributions or incur expenses beyond the nomination fee must complete Boxes C, D, Schedule 1, and Schedule 2 as appropriate. Candidates who receive contributions or incur expenses in excess of \$10,000 must also attach an Auditor's Report.

All surplus funds (after any refund to the candidate or his or her spouse) shall be paid immediately over to the clerk who was responsible for the conduct of the election.

For the campaign period from (day candidate filed nomination)

YYYY	MM	DD
2 0 1 4	0 1	0 2

 to

YYYY	MM	DD
2 0 1 4	1 2	3 1

Primary filing reflecting finances to December 31 (or 45th day after voting day in a by-election)

Supplementary filing including finances after December 31 (or 45th day after voting day in a by-election)

Box A: Name of Candidate and Office

Candidate's name as shown on the ballot

Last Name

BROOME-PLUMLEY

Given Name(s)

KELLY

Name of office for which the candidate sought election

COUNCILLOR

Ward name or no. (if any)

WARD 6

Name of Municipality

NEWMARKET

Spending limit issued by clerk

\$ 12,661.05

I did not accept any contributions or incur any expenses other than the nomination fee. (Complete Box A and B only)

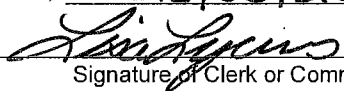
Box B: Declaration

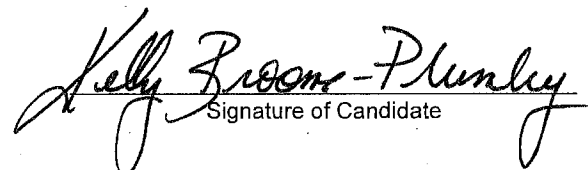
I, KELLY BROOME-PLUMLEY, a candidate in the municipality of THE TOWN OF NEWMARKET, hereby declare that to the best of my knowledge and belief that these financial statements and attached supporting schedules are true and correct.

Declared before (clerk or commissioner)

in the TOWN OF NEWMARKET

on (yyyy/mm/dd) 2015/03/26


Signature of Clerk or Commissioner


Signature of Candidate

2015/03/26
Date Filed in the Clerk's Office (yyyy/mm/dd)

Lisa Lyons, Deputy Clerk, Town of Newmarket
a Commissioner, etc., Regional Municipality of York
for the Corporation of the Town of Newmarket.

Box C: Statement of Campaign Income and Expenses**LOAN**

Name of bank or recognized lending institution _____
 Amount borrowed \$ _____

INCOME

Total amount of all contributions (From line 1A in Schedule 1)	+ \$	15,702.70
Refund of nomination filing fee	+ \$	100.00
Sign deposit refund	+ \$	25.00
Revenue from fund-raising events not deemed a contribution (From Part III of Schedule 2)	+ \$	_____
Interest earned by campaign bank account	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Total Campaign Income (Do not include loan)	= \$	15,827.70 C1

EXPENSES (Note: include the value of contributions of goods and services)**Expenses subject to spending limit**

Nomination filing fee	+ \$	100.00
Inventory from previous campaign used in this campaign (list details in Table 5 of Schedule 1)	+ \$	_____
Advertising	+ \$	895.46
Brochures/flyers	+ \$	5,018.14
Signs (including sign deposit)	+ \$	3,874.17
Meetings hosted	+ \$	_____
Office expenses incurred until voting day	+ \$	825.44
Phone and/or Internet expenses incurred until voting day	+ \$	_____
Salaries, benefits, honoraria, professional fees incurred until voting day	+ \$	_____
Bank charges incurred until voting day	+ \$	27.56
Interest charged on loan until voting day	+ \$	_____
Other (provide full details)		
1. MAPS	+ \$	49.99
2. WEBSITE/ONLINE SERVICES	+ \$	818.33
3. LANYARDS/T-SHIRTS	+ \$	434.16
Total Expenses subject to spending limit	= \$	12,043.25 C2

Expenses not subject to spending limit

Accounting and audit	+ \$	847.50
Cost of fund-raising events/activities (list details in Part IV of Schedule 2)	+ \$	132.14
Voting day party/appreciation notices	+ \$	500.00
Office expenses incurred after voting day	+ \$	_____
Phone and/or Internet expenses incurred after voting day	+ \$	_____
Salaries, benefits, honoraria, professional fees incurred after voting day	+ \$	_____
Bank charges incurred after voting day	+ \$	5.85
Interest charged on loan after voting day	+ \$	_____
Expenses related to recount	+ \$	_____
Expenses related to controverted election	+ \$	_____
Expenses related to compliance audit	+ \$	_____
Expenses related to candidate's disability (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Other (provide full details)		
1. _____	+ \$	_____
2. _____	+ \$	_____
3. _____	+ \$	_____
Total Expenses not subject to spending limit	= \$	1,485.49 C3

Total Campaign Expenses (C2 + C3)**= \$ 13,528.74 C4**

Box D: Calculation of Surplus or Deficit

Excess (deficiency) of income over expenses (Income – Total Expenses) (C1 – C4)	+ \$	<u>2,298.96</u>	D1
Eligible deficit carried forward by the candidate from the last election	- \$		D2
Total (D1 – D2)	= \$	<u>2,298.96</u>	
If there is a surplus, deduct any refund of candidate's or spouse's contributions to the campaign	- \$	<u>2,677.65</u>	
Surplus (or deficit) for the campaign	= \$	<u>-378.69</u>	D3

If line D3 shows a surplus, the amount must be paid in trust, at the time the financial statements are filed, to the municipal clerk who was responsible for the conduct of the election.

Amount of \$ _____ paid to municipal clerk in the municipality of _____.

Schedule 1 - Contributions

Part I - Summary of Contributions

Contribution from candidate (include the value of inventory listed in Table 5)	+ \$	6,802.24
Contribution from spouse	+ \$	
Total value of contributions not exceeding \$100 per contributor		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor is \$100 or less (do not include contributions from candidate or spouse).	+ \$	50.00
Total value of contributions exceeding \$100 per contributor (from line 1B; list details in Tables 1 - 4)		
• Include ticket revenue, contributions in money, goods and services where the total contribution from a contributor exceeds \$100 (do not include contributions from candidate or spouse).	+ \$	8,850.46
Less: Contributions returned or payable to the contributor	- \$	
Contributions paid or payable to the clerk, including contributions from anonymous sources exceeding \$10	- \$	
Total Amount of Contributions (Record in Box C)	= \$	15,702.70 1A

Part II - List of Contributions from Each Single Contributor Totalling more than \$100

Table 1: Monetary contributions from individuals other than candidate or spouse

Name	Full Address	Amount \$
MICHAEL CROXON	87 HILLVIEW ROAD AURORA, ON L4G 2M6	750.00
TONY DINALLO	20 NEWMAN AVENUE RICHMOND HILL, ON L4E 3E5	750.00
DOROTHY DINALLO	20 NEWMAN AVENUE RICHMOND HILL, ON L4E 3E5	750.00
JACKIE PLAYTER	157 MAIN STREET NEWMARKET, ON L3Y 3Y9	300.00
PAUL DUTTON	505 QUEEN STREET NEWMARKET, ON L3Y 2H3	500.00
PAUL BROOME	7 ELSTON COURT RICHMOND HILL, ON L4L 8A6	200.00
SONIA LEWIS	264 MCBRIDE CRESCENT NEWMARKET, ON L3X 2W4	250.00
MICHAEL WADDINGTON	11 GLASGOW CRESCENT KESWICK, ON L4P 4A9	250.00
MATTHEW WADDINGTON	363 WARATAH AVENUE NEWMARKET, ON L3Y 5J3	250.00
BELINDA STRONACH	C/O 455 MAGNA DRIVE, 2ND FLOOR AURORA, ON L4G 7A9	500.00
<input type="checkbox"/> Additional information is listed on separate supplementary attachment	Total	4,500.00

Table 2: Monetary contributions from corporations or unions

Name (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Amount \$
1152945 ONTARIO INC O/A TIM HORTONS	302-390 DAVIS DRIVE NEWMARKET, ON L3Y 7TB	JIM ALEXANDER	JIM ALEXANDER	500.00
ARTISTS SALON & SPA	22-16655 YONGE ST NEWMARKET, ON L3X 1V6	MARIA RACCO	MARIA RACCO	150.00
NATURE'S EMPORIUM	27-16655 YONGE ST NEWMARKET, ON L3X 1V6	JOE & MARY D'ADDARIO	JOE & MARY D'ADDARIO	750.00
CARTRIDGE WORLD	7-16640 YONGE ST NEWMARKET, ON L3X 2N8	KAREN THOMPSON	KAREN THOMPSON	125.00
INSPI AIR INC	11 MAIN ST SOUTH NEWMARKET, ON L3Y 3Y1	PAUL MONTADOR	PAUL MONTADOR	750.00
STRONACH CONSULTING CORP	455 MAGNA DRIVE 2ND FLOOR AURORA, ON L4G 7A9	BELINDA STRONACH	BELINDA STRONACH	500.00
LEO & MCARTHUR	505 MILLER AVENUE MARKHAM, ON L3R 9R8	LEO MCARTHUR	LEO MCARTHUR	500.00
			Total	3,275.00

 Additional information is listed on separate supplementary attachment
Table 3: Contributions in goods or services from individuals other than candidate or spouse
(Note: must also be recorded as expenses in Box C)

Name	Full Address	Description of Goods or Services	Value \$
BRIAN JOHNS	65 COLONEL WAYLING BLVD SHARON, ON L0G 1V0	LAWN STAKES	350.00

Name	Full Address	Description of Goods or Services	Value \$
<input type="checkbox"/> Additional information is listed on separate supplementary attachment		Total	350.00

Table 4: Contributions in goods or services from corporations or unions (Note: must also be recorded as expenses in Box C)

Name of Corporation (Legal and Carrying on Business As)	Full Address	President or Business Manager	Authorized Representative	Description of Goods or Services	Value \$
SNAPD NEWMARKET	114 PARK AVE. NEWMARKET, ON L3Y 1Y7	VERONICA WILLIAMS	VERONICA WILLIAMS	OCT 2014 HALF PAGE AD	725.46

Additional information is listed on separate supplementary attachment **Total** 725.46

Total Part II Contributions (Add Totals from Tables 1–4) (Record in Part I – Summary) **\$ 8,850.46 1B**

Part III – Inventory

Table 5: Inventory of Campaign Goods and Materials from Previous Campaign used in this Campaign
(Note: value must be recorded as a contribution from the candidate and as an expense)

Description	Date Acquired (yyyy/mm/dd)	Supplier	Current Market Value \$	Quantity	Total Value \$

Additional information is listed on separate supplementary attachment **Total**

Schedule 2 – Fundraising Events and Activities

Fundraising Event/Activity

Complete a separate schedule for each event or activity held

Additional schedule(s) attached

Description of fundraising event/activity FUNDRAISING KICK-OFF EVENT

Date of event/activity (yyyy/mm/dd) 2014/04/27

Part I – Ticket Revenue

Admission charge (per person)

(If there are a range of ticket prices, attach complete breakdown of all ticket sales)

+ \$ _____ 2A

Number of tickets sold

X _____ 2B

Total Ticket Revenue (2A X 2B) (Include in Schedule 1)

= \$ _____

Part II – Other revenue deemed a contribution

(provide details (e.g. revenue from goods sold in excess of fair market value))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part II Revenue (include in Schedule 1)

= \$ _____

Part III – Other revenue not deemed a contribution

(provide details (e.g. contributions of \$10 or less; market value of goods or services sold))

1. _____ + \$ _____

2. _____ + \$ _____

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

Total Part III Revenue (include in Box C)

= \$ _____

Part IV – Expenses related to fundraising event or activity (provide details)

1. INVITATIONS + \$ 84.75

2. BALLOONS AND PARTY SUPPLIES + \$ 47.39

3. _____ + \$ _____

4. _____ + \$ _____

5. _____ + \$ _____

6. _____ + \$ _____

7. _____ + \$ _____

8. _____ + \$ _____

Total Part IV Expenses (include in Box C)

= \$ 132.14

Auditor's Report*Municipal Elections Act, 1996 (Section 78)*

A candidate who has received contributions or incurred expenses in excess of \$10,000 must attach an auditor's report.

Professional Designation of Auditor

CHARTERED PROFESSIONAL ACCOUNTANT

Municipality TOWN OF NEWMARKET	Date (yyyy/mm/dd) 2015/03/25
-----------------------------------	---------------------------------

Contact Information

Last Name CONNER	First Name JODI	Licence Number 1-17081
---------------------	--------------------	---------------------------

Address

Suite/Unit No. 10	Street No. 1195	Street Name STELLAR DRIVE
----------------------	--------------------	------------------------------

City/Town NEWMARKET	Province ON	Postal Code L3Y 7B8
------------------------	----------------	------------------------

Telephone No. (including area code) 905 898-1641 ext.223	Fax No. 905 898-0485	Email Address jconner@connerandconner.ca
---	-------------------------	---

The report must be done in accordance with generally accepted auditing standards and must:

- set out the scope of the examination
- provide an opinion as to the completeness and accuracy of the financial statement and whether it is free of material misstatement

 Report is attached

Personal information, if any, collected on this form is obtained under the authority of sections 78 and 95 of the *Municipal Elections Act, 1996*. Under section 88 of the *Municipal Elections Act, 1996* (and despite anything in the *Municipal Freedom of Information and Protection of Privacy Act*) documents and materials filed with or prepared by the clerk or any other election official under the *Municipal Elections Act, 1996* are public records and, until their destruction, may be inspected by any person at the clerk's office at a time when the office is open. Campaign financial statements shall also be made available by the clerk in an electronic format free of charge upon request.



Independent Auditors' Report

To: Andrew Brouwer, clerk Town of Newmarket
In accordance with the Municipal Elections Act, 1996

We have audited the Statement of Campaign Income and Expenses, and the Calculation of Surplus or Deficit for Kelly Broome-Plumley, candidate, for the campaign period January 2, 2014 to December 31, 2014 relating to the Municipal election held on October 27, 2014.

Candidates Responsibility for the Financial Statements

The candidate is responsible for the preparation and fair presentation of these financial statements in accordance with the Municipal Elections Act, 1996 ("the Act"), and for such internal control as the candidate determines necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.

Auditors' Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with Canadian generally accepted auditing standards. Those standards require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance whether the financial statements are free of material misstatement and in compliance with the Act.

An audit involves procedures to obtain audit evidence about the amounts and disclosures in the financial statements, examining, on a test basis, evidence supporting compliance with the Act and evaluating overall compliance with the Act. The procedures selected depend on the auditor's judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the candidate's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the candidate's internal control. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of accounting estimates made by the candidate, as well as evaluating the overall presentation of the financial statements.

Due to the nature of the types of transactions inherent in any election campaign, it is impracticable through auditing procedures to determine that the accounting records include all income and expenses for the campaign period. Accordingly, our verification of these transactions is limited to the amounts recorded in the candidate's election campaign records and we were not able to determine whether any adjustments might be necessary to income and expenses, and surplus/deficit.

We believe that the audit evidence we have obtained, except for the effect of adjustments, if any, which we might have determined necessary had we been able to satisfy ourselves as to the completeness of the records as described in the preceding paragraph, is sufficient and appropriate to provide a basis for our audit opinion.

Opinion

In our opinion, except for the qualification described in the previous paragraph, these financial statements present fairly, in all material respects, the financial position of the candidate's election campaign as at December 31, 2014 and the statements of income and expenses for the campaign period from January 2 to December 31, 2014 in accordance with Canadian auditing standards.

Newmarket, Ontario
March 25, 2015

Conner + Conner Professional Corporation

Chartered Professional Accountant
Licensed Public Accountants